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# Insomnia and sleep quality in healthcare workers fighting against COVID-19: a systematic review of the literature and meta-analysis

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## ABSTRACT

The COVID-19 pandemic has the potential to significantly affect the mental health of healthcare workers, who stand in the frontline of this crisis. Insomnia is often related to exposure to stressful situations, such as the current health crisis, as well as other mental disorders, physical conditions and work-related problems. The objectives of this systematic review were: 1) to examine the impact of the current health pandemic produced by COVID-19 on insomnia and sleep quality of health professionals, and 2) to identify risk factors associated with insomnia. After a literature search in MEDLINE, EMBASE, and PsycINFO, 18 relevant studies were identified. The prevalence of insomnia estimated by random effects meta-analysis was 38% (95%CI= 37 to 39%), being slightly higher in women (29%, 95%CI= 27% to 30%) than in men (24%, 95%CI= 21 to 27%). The main risk factor associated with insomnia was working in a high-risk environment, followed by female sex and having a lower educational level. The high figures of self-reported insomnia and poor sleep quality observed indicate the need to develop interventions aimed at mitigating and caring for the mental health of healthcare workers fighting against this pandemic.

**Registration number in PROSPERO:** CRD42020207239

**Key words.** healthcare workers; insomnia; sleep quality; COVID-19; systematic review.

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## INTRODUCTION

Since its outbreak in December, 2019, in China's Hubei province, the new disease caused by the SARS-CoV-2 coronavirus, COVID-19, has spread quickly around the world<sup>1,2</sup>. It was declared a pandemic in March 2020 by the World Health Organization (WHO)<sup>3</sup>. Recent studies have suggested that the healthcare crisis caused by the pandemic is seriously affecting the mental health of healthcare workers<sup>4-6</sup>. This psychological impact not only affects doctors and nurses in front-line respiratory and intensive care units, but also healthcare workers from other specialties, including surgeons and anesthesiologists<sup>7</sup>. A variety of factors—such as those related to the nature of the disease (its rapid propagation, the potential seriousness of symptoms, and the number of cases and deaths)<sup>8</sup>, the low level of knowledge about the disease, constantly having to update protocols<sup>9,10</sup>, the high infection rate among healthcare workers<sup>11,12</sup>, excessive and exhausting workloads<sup>4,13</sup>, shifts changes<sup>14</sup>, isolation, and a lack of protective equipment<sup>15</sup>—have been identified as being involved in the development of psychological problems.

According to the international classification of sleep disorders (ICTS-3)<sup>16</sup>, insomnia is defined as experiencing persistent trouble falling asleep, staying asleep, or having quality, restful sleep despite having the appropriate circumstances and opportunity to do so along with a noticeable level of bother or detriment to one's social, professional, educational, or academic life; one's behavior; or any other area important to human functioning. Insomnia has been estimated to affect 10-20% of the general population<sup>17,18</sup>. Among its risk factors, the following are notable: having depression, female gender, having a low socioeconomic status, suffering from physical or psychological illnesses, and being single<sup>19</sup>.

Additionally, insomnia is associated with the onset of mood disorders, irritability, anxiety, and problems related to concentration and memory, which can make it more difficult to perform daily professional, leisure, and social activities<sup>20</sup><sup>21</sup>. On a physical level, insomnia increases the incidence of cardiovascular morbidity as well as the possibility of suffering from diabetes, obesity, and a wide range of other morbidities<sup>22</sup>. On a professional level, it has been associated with work place accidents, decreased productivity, lower levels of satisfaction with the work performed, and higher levels of workplace absenteeism<sup>23</sup>. All of this has a high cost, both direct, i.e., costs related to treatment, appointments, and procedures, and indirect, i.e., a greater drain on healthcare resources and less workplace productivity<sup>23</sup><sup>24</sup>.

The development of sleep disorders, and specifically of insomnia, is closely related to exposure to stressful situations, such as the current one imposed by the COVID-19 healthcare crisis<sup>25</sup><sup>26</sup>. Since its beginning, studies considering the impact of the pandemic on healthcare workers' sleep have been widespread. However, to date, no systematic review has specifically addressed this important component of mental health. To estimate the prevalence of insomnia and to identify associated risk factors is key in order to be able to develop interventions to prevent this mental health issue and mitigate its impact. This systematic review has two objectives: 1) to study the impact that the current COVID-19 pandemic has on sleep quality and insomnia in healthcare workers, and 2) to identify the risk factors associated with insomnia.

## MATERIAL AND METHODS

A rapid systematic literature review (registered in PROSPERO CRD42020207239) was performed following the WHO<sup>27</sup> and Cochrane recommendations for rapid reviews in response to COVID-19<sup>28</sup>. PRISMA recommendations were followed to report about the study<sup>28</sup>. The present work is part of a broader systematic review of the literature that examine the impact of providing healthcare in frontline of health emergencies caused by viral epidemic outbreaks on healthcare workers' mental health<sup>4</sup>.

### Data Sources and Searches

A search strategy (available in Online Appendix 1) was designed and implemented in MEDLINE (accessed through Ovid), which was adapted for use in EMBASE (Elsevier), and PsycINFO (EBSCO). The search strategies combined MeSH terms with keywords. The searches were carried out on August 3, 2020, with no temporal restrictions. As a source of complementary information, the primary studies included in previous related systematic reviews were also reviewed<sup>30-37</sup>. All the resulting bibliographic references were downloaded and stored in a database created using EndNote X8™ software.

### Selection criteria

We included primary empirical studies examining the prevalence of insomnia and associated factors in healthcare workers at the frontline of the COVID-19 pandemic. We included observational studies (cross-sectional, case-control, and cohort). All types of settings and healthcare professionals were accepted for inclusion. We excluded systematic reviews, narrative reviews, thesis, editorials, letters to the editor, protocols, and studies not published in peer-reviewed journals. Studies not published in English or Spanish were also excluded.

### Study selection

The references were imported into the Rayyan<sup>38</sup> online tool to facilitate the screening and selection process. One reviewer screened the retrieved references on title and abstract selecting those potentially relevant. Subsequently, two reviewers independently screened the full article of previously selected references to confirm their eligibility. Discrepancies between reviewers were resolved by consensus between both reviewers or with the support of a third reviewer if necessary.

### Data Extraction and Quality Assessment

A data extraction sheet was designed and piloted, in which the main characteristics and results of the selected studies were extracted: country, study setting, study design, number of participants, instrument used to measure insomnia, and results. We extracted the prevalence rate in terms of the number of professionals suffering insomnia (numerator) out of the total number of study participants (denominator). If available, we extracted information about the risk factors. The methodological quality of the included studies was assessed using the set of tools developed by Evidence Partners (McMaster University)<sup>39</sup> specific for each type of epidemiological design used in the included studies (cross-sectional, case-control or cohort studies). Both data extraction and methodological quality assessment were carried out by a single reviewer and reviewed by a second reviewer.

### Data synthesis and analysis

We carried out a tabulated and narrative synthesis of the results, using a previously developed taxonomy<sup>37</sup> to classify the risk factors for insomnia as social, occupational, or sociodemographic.

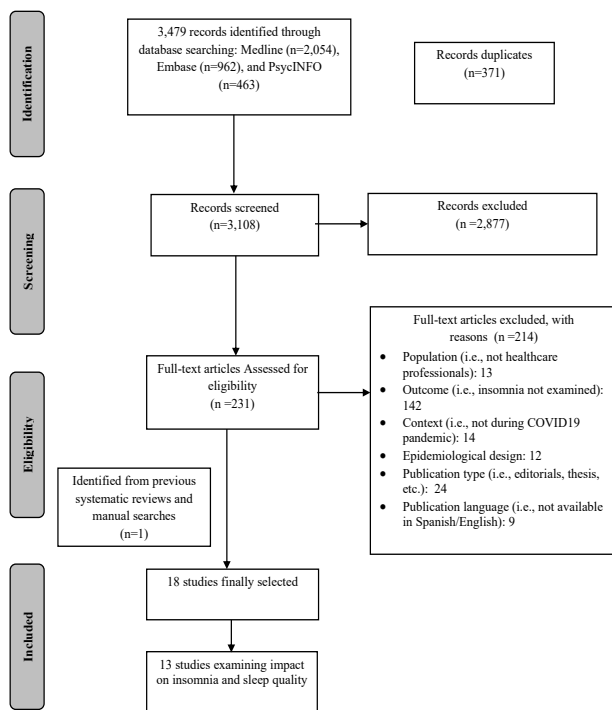
To conduct the meta-analysis of the prevalence of insomnia we used Stata's "*metaprop*"<sup>40</sup> command, which estimates prevalence (expressed in terms of proportions) and

their respective 95% confidence intervals (95%CI). The proportions were calculated via the Freeman-Turkey transformation<sup>41 42</sup> and a random effects model. Heterogeneity was calculated using the *I*<sup>2</sup> statistic, and was considered high when *I*<sup>2</sup>>50%.<sup>43</sup> We considered the presence of asymmetry with both Begg's<sup>44</sup> and Egger's<sup>45</sup> tests. Subgroup and bivariate meta-regression analyses were carried out (using the "metareg" command) to investigate differences by gender. Although we initially planned to perform subgroup analyses also by age, finally we were unable to do so because the data were not consistently available. All analyses were carried out with the statistical software Stata, version 12.

**RESULTS**

**Search results**

After removing the duplicates, we identified a total of 3,108 unique references (Figure 1). An initial screening of these references, based on their titles and abstracts, led us to exclude 2,877 of them. After a full-text assessment of the remaining 231 articles, and manually going over studies included in previous reviews, we identified 18 studies as eligible for the present systematic review. All of the studies excluded after the full-text review are listed in Online Appendix 2.



**Figure 1** PRISMA flowchart (First autor: María J. Serrano-Ripoll)

**Characteristics of the studies**

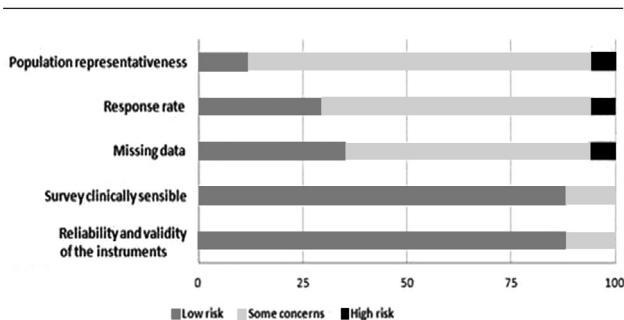
The characteristics of the studies included are summarized in Table 1. The present systematic review included a total of 15,986 participants. 14 of the studies (78%) were carried out in China, and the remaining four in Saudi Arabia, Spain, the United States, and Serbia. The average number of participants was 888, and ranged from 100-2,285. Seven studies (39%) were conducted in a hospital setting, one (5%) in a pediatric center, and three (17%) in multiple settings; the remaining seven studies did not specify the setting where they took place. Nearly two-thirds of the studies (65%) made no distinction between the types of healthcare workers included in the study, while the remaining studies focused on either doctors (23%) or nurses (12%). All of the studies employed valid instruments for assessing insomnia and sleep quality. With the exception of one cohort study<sup>46</sup>, all of the studies used a cross-sectional design (95%).

Table 1	Characteristics of the studies included	
	N	% (rango)
<b>Epidemiologic design</b>		
Cross-sectional	17	95
Cohort study	1	5
<b>Number of participants <sup>a</sup></b>	888	(100 - 2,285)
<b>Gender (men/woman)</b>	2,709/10,777	17/83
<b>Instruments<sup>b</sup></b>		
Pittsburgh Sleep Quality Index	9	50
Insomnia Severity Index	7	39
Athens Insomnia Scale	3	17
<b>Country</b>		
China	14	80
Saudi Arabia	1	5
Spain	1	5
United States of America	1	5
Serbia	1	5
<b>Population</b>		
Healthcare workers in general	12	65
Doctors	4	23
Nurses	2	12
<b>Setting</b>		
Hospital	7	39
Multiple healthcare facilities / medical center	3	17
Pediatric center	1	5
Non specified	7	39

<sup>a</sup>Mean and range, <sup>b</sup>Percentages exceeding 100% as categories are not mutually exclusive.

### RISK OF BIAS

In general, the main risks of bias in the 17 cross-sectional studies were related to the selection bias (14 of these [82%] with moderate risk and one [5%] with high risk), the response rate (moderate risk in 11 studies [65%] and high risk in one study [5%]), and missing data (moderate risk in 10 studies [59%] and high risk in one study [5%]) (Figure 2). The main sources of bias in the cohort study were the possible risk that the outcome of interest (insomnia) were already present at the start of the study and a lack of adjustment for prognostic variables for insomnia (Online Appendix 3).



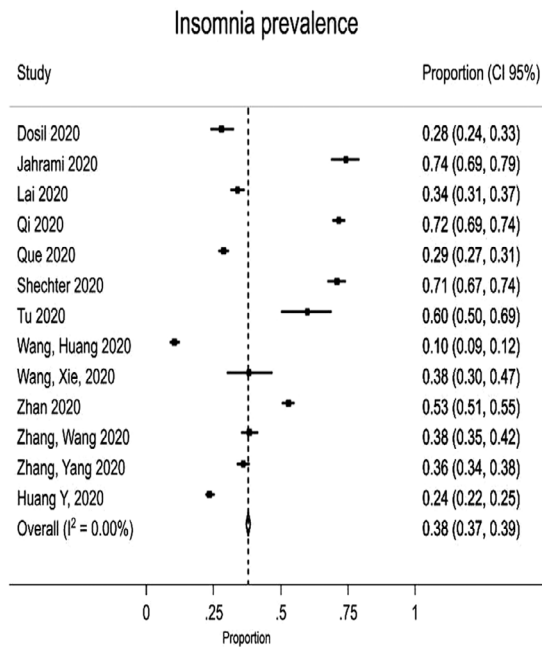
\*The reviewer assessment of each element of risk of bias presented as a percentage of the total included studies

**Figure 2** Risk of bias in cross-sectional studies\*  
(First autor: María J. Serrano-Ripoll)

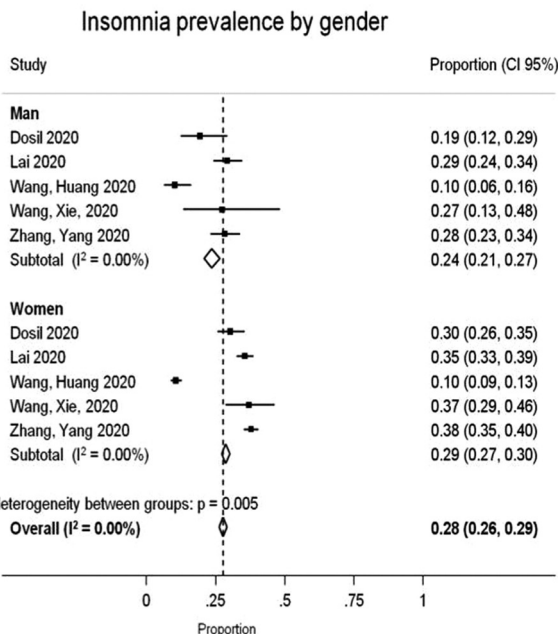
### The prevalence of insomnia in healthcare workers

The results of the 18 studies on the sleep quality and presence of insomnia in healthcare workers during the current COVID-19 pandemic are detailed in Table 2. The overall prevalence of self-related insomnia, estimated through meta-analysis (Figure 3) was 38% (95%CI=37% to 39%, I<sup>2</sup> 0%; 13 studies, 14,075 participants).

A subgroup analysis by gender (Figure 4) showed a slightly higher prevalence of insomnia in women (29%, 95%CI=27% to 30%, I<sup>2</sup> 0%; 5 studies, 3,603 participants) than in men (24%, 95%CI=21% to 27%, I<sup>2</sup> 0%; 5 studies, 816 participants). This difference was not statistically significant according to the meta-regression performed (p=0.2). Begg's and Egger's tests suggest the absence of publication bias in all of the meta-analyses carried out.



**Figure 3** Forest plot - Insomnia prevalence. CI, confidence interval; I<sup>2</sup>, heterogeneity level  
(First autor: María J. Serrano-Ripoll)



**Figure 4** Forest plot - Insomnia prevalence by gender. CI, confidence interval; I<sup>2</sup>, heterogeneity level  
(First autor: María J. Serrano-Ripoll)

Five of the studies did not provide data on the prevalence of insomnia that were appropriate for meta-analysis<sup>46-50</sup>. One study<sup>47</sup> showed that the majority of healthcare workers surveyed had had their quality of sleep affected: slightly in 34.4% of participants, moderately in 22.4%, and severe in 6.2%. Another study<sup>48</sup> found that 11.3% of healthcare workers frequently experienced sleeping problems, while 6.7% had problems falling asleep and 6.2% slept for less than six hours per night. Another study<sup>46</sup> reported that, despite seeing a decline in healthcare workers' levels of anxiety over two weeks, this did not translate into improved quality of sleep, which remained disrupted. Another study<sup>49</sup> showed increased levels of self-reported insomnia (Pittsburgh Index > 10) in 32% of frontline professionals and in 16% of the rest of healthcare workers. Finally, another study on frontline professionals<sup>50</sup> reported that quality of sleep was associated with levels of anxiety, stress, and self-efficacy, which acted as mediator variables for insomnia.

### Risk factors for insomnia during the COVID-19 pandemic

12 of the 18 studies (66.7%) identified occupational, sociodemographic, and/or social factors as being associated with a probability of developing insomnia problems when providing medical care during the COVID-19 pandemic.

#### Occupational risk factors

13 studies considered occupational risk factors<sup>25 47 49 51-59</sup>. The main risk factor associated with suffering from insomnia was working in a high-risk environment (10/13 studies). Defining an environment as being high-risk generally involved having direct contact with infected patients providing frontline care<sup>26 51 53</sup> or in isolated environments<sup>59</sup>, and/or experiencing negative events related to COVID-19.<sup>57</sup> Three studies<sup>51 56 58</sup> concluded that professionals in contact with infected persons had a greater risk of suffering from insomnia (2-2.5 times greater). This was supported by two additional studies<sup>53 54</sup> that reported a greater risk for frontline professionals (OR=2.97 and 1.90, respectively). Wang *et al.*<sup>55</sup> reported a greater risk of self-reported insomnia ( $p < 0.05$ ) in frontline professionals (OR=1.60) and healthcare workers with less seniority (OR=1.88). Similarly, Zhan *et al.*<sup>57</sup> showed that caring for patients with COVID-19 was a risk factor associated with insomnia, as well as the frequency of night shifts, having more work experience, and having negative experiences with COVID-19 ( $p < 0.05$  in all cases). Zhang *et al.*<sup>59</sup> showed that the symptoms of insomnia were significantly ( $p < 0.05$ ) associated with working in an isolation unit (OR=1.71) and having a high level of uncertainty about effectively managing the disease (OR=3.30), while being a doctor seemed to be a protective factor (OR=0.44). The study by Huang *et al.*<sup>52</sup> reported that healthcare workers had a greater risk of suffer-

ing from insomnia than other professionals included in the study (OR=1.32), while the study by Jahrami *et al.*<sup>25</sup> found no significant differences between healthcare professionals working on the frontlines and others who were not on the frontline. This study also found that being a doctor served to protect against a combination of poor sleep quality and moderate-severe stress (OR=0.7).

#### Sociodemographic risk factors

Five studies identified sociodemographic factors related to insomnia<sup>25 55-57 59</sup>. In two of them, females were seen to have a greater probability of suffering from insomnia during the COVID-19 pandemic. Jahrami *et al.*<sup>25</sup> reported that one of the independent predictors of the combination of poor sleep quality and moderate-severe stress was the female gender (OR = 2.0). Zhan *et al.*<sup>57</sup> observed an association between insomnia and female gender ( $p = 0.002$ ) and middle-aged (46-55 years). Wang *et al.*<sup>55</sup> and Zhang *et al.*<sup>59</sup> associated insomnia with lower levels of education. Additionally, insomnia was associated with being an only child (OR=3.40)<sup>56</sup> and living in a rural area (OR=2.18).<sup>59</sup>

#### Social risk factors

Three studies considered social risk factors associated with insomnia<sup>51 54 59</sup>. Dosil *et al.*<sup>51</sup> saw a greater risk of insomnia ( $p < 0.05$ ) in healthcare workers who lived with a chronically ill person. Que *et al.*<sup>54</sup> found that healthcare workers who received negative comments from family members or friends regarding their frontline work were at greater risk (OR=3.47), and Zhang *et al.*<sup>59</sup> saw an association between insomnia and a lack of psychosocial support in mass media and social networks (OR=2.10).

## DISCUSSION

In this rapid systematic review, we synthesize the evidence of 18 studies that examine the impact of COVID-19 pandemic on insomnia and the sleep quality in healthcare workers dealing with the outbreak. The review highlights the prevalence of self-reported insomnia and identifies occupational, sociodemographic, and social risk factors, observing that being female, working in a high-risk environment, and having a lower educational level are important risk factors consistently identified in the available literature.

#### Discussion of main results

The estimation of the prevalence of self-reported insomnia obtained from our meta-analysis (38%) is similar to the rates observed in previous meta-analyses, which ranged from 29%<sup>60 61</sup> to 39%<sup>62</sup>. However, previous reviews were conducted with a lower number of primary studies (between

**Table 2** Summary of main results of studies examining the impact on insomnia and sleep quality in healthcare professionals during the COVID-19 outbreak (N=18)

Author/year/ country	Setting/ Population	Study design/ Sample size / N	Insomnia / sleep distur- bances (instrument)	Main results			
				Insomnia frequency/ severity	Sociodemogra- phic risk factors	Occupational risk factors	Social risk factors
Dosil 2020 <sup>51/</sup> Spain	Multiple health- care facilities/ Healthcare workers	Cross-section- al N=421	Insomnia (AIS)	Insomnia prevalence was 28.9%.	NA	Increased risk of insomnia after having worked in contact with the virus (p= 0.029).	Living with a per- son with a chronic illness (p= 0.037).
Huang 2020 <sup>52/</sup> China	NA/ Healthcare workers	Cross-section- al N=2,250	Sleep quality (PSQI)	Insomnia prevalence was 23.6%.	NA	Higher risk of poor sleep quality in healthcare workers than in other occupational groups (OR= 1.32; 95%CI: 1.18-1.88).	NA
Jahrami 2020 <sup>25/</sup> Saudi Arabia	NA/ Healthcare workers	Cross-section- al N=257	Sleep quality (PSQI)	In frontline healthcare workers, 75% were poor sleepers and 61% had poor sleep quality. Among the non-frontline healthcare workers, 76% were poor sleepers and 62% had poor sleep quality.	Higher risk of poor sleep qual- ity and stress in women (OR= 2.0 [95%CI: 1.1-3.5]).	Lower risk of poor sleep quality and stress in doctors than in other healthcare workers (OR= 0.7 [95% CI: 0.5-1.1]).	NA
Kang 2020 <sup>47/</sup> China	NA/ Doctors and nurses	Cross-section- al N=994	Insomnia (ISI)	Minor sleep disturbances in 34.4%, moderate in 22.4% and severe in 6.2%.	Higher preva- lence in young women (p<0.05).	Higher risk of insomnia in healthcare workers working in high-risk environment.	NA
Lai 2020 <sup>53/</sup> China	Hospital/ Heal- thcare workers	Cross-section- al N=1,257	Insomnia (ISI)	Insomnia prevalence was 34.0%.	NA	Higher risk of insomnia in healthcare workers in high-risk environment (OR= 2.97; p<0.05).	NA
Qi 2020 <sup>26/</sup> China	NA/ Doctors	Cross-section- al N=1,306	Insomnia (PSQI, AIS)	Higher prevalence of sleep disturbances in frontline healthcare workers ac- cording to PSQI> 6 points (78.4% vs 61.0%) and AIS> 6 points (51.7% vs 35.6%).	NA	NA	NA
Que 2020 <sup>54/</sup> China	Hospital/ Healthcare workers	Cross-section- al N=2,285	Insomnia (ISI)	The prevalence of insomnia was 28.75%.	NA	Increased risk of insomnia working in frontline (OR= 1.90; 95%CI: 1.21 - 2.97). The highest prevalence was observed in nurses (33.17%) and the lower prevalence in medical residents (24.53%).	Higher risk of insomnia when receiving negative feedback from families and friends regarding their front-line work (OR= 3.47; 95%CI: 1.95 - 6.17%).
Shechter 2020 <sup>64/</sup> USA	Medical center/ Healthcare workers	Cross-section- al N=657	Insomnia (ISI)	The prevalence of moder- ate insomnia was 45% and severe or extremely severe insomnia was 26%.	NA	Nurses and advanced practice providers, report- ed the worst sleep prob- lems (p<0.001).	NA
Stojanov 2020 <sup>49/</sup> Serbia	Hospital/ Healthcare workers	Cross-section- al N=201	Sleep quality (PSQI)	NA	NA	Healthcare workers who treated COVID-19 patients had worse sleep quality (PSQI=8.3 ± 4.5) than those who did not (5.2 ± 3.7) (p<0.01).	NA
Tu 2020 <sup>65/</sup> China	Hospital/ Nurses	Cross-section- al/ N=100	Sleep quality (PSQI)	The prevalence of poor sleep quality was 60%.	NA	NA	NA

**Table 2** Summary of main results of studies examining the impact on insomnia and sleep quality in healthcare professionals during the COVID-19 outbreak (N=18) (continuation)

Wang, Huang 2020 <sup>55/</sup> China	Hospital/ Healthcare workers	Cross-section- nal N=1,045	Insomnia (ISI)	The prevalence of insomnia symptoms was 49.9%. 10.4% of the participants had a clinical sleep disorder (ISI ≥15).	Lower insomnia risk in staff with a higher education level (p=0.027).	Higher insomnia risk in staff working in high-risk environment (OR=1.60; 95% CI: 1.07-2.40) and staff with fewer years of employment (OR=1.88; 1.09- 3.26).	NA
Wang, Xie, 2020 <sup>56/</sup> China	Pediatric center/ Healthcare workers	Cross-section- nal/ N=123	Insomnia (PSQI)	The prevalence of insomnia was 38%.	Higher insomnia risk related with being an only child (OR=3.40; 95%CI: 1.21- 9.57).	Higher risk of insomnia in healthcare workers working in high-risk environments (OR=2.97; 95%CI: 1.08-8.18).	NA
Xiao 2020 <sup>50/</sup> China	Multiple health- care facilities (respiratory medicine/ICU)/ Doctors and nurses	Cross-section- nal N=180	Sleep quality (PSQI)	PSQI mean score was 8.583± 4.567.	NA	NA	NA
Yin 2020 <sup>48/</sup> China	NA/ Healthcare workers	Cross-section- nal/ N=377	Insomnia (PSQI)	The prevalence of frequent sleep disturbances was 11.3%, 6.7% complained having difficulty falling asleep and 6,2% had less than 6 hours sleeping time per night.	NA	NA	NA
Yuan 2020 <sup>46/</sup> COVID-19 China	NA/ Healthcare workers	Cohort/ N=249	Sleep quality (PSQI)	36.43% of doctors reported severely impaired sleep quality.	NA	NA	NA
Zhan 2020 <sup>57/</sup> China	Hospital/ Nurses	Cross-section- nal/ N=1,794	Insomnia (AIS)	The prevalence of insomnia was 52.8%.	Higher risk in female participants (p= 0.002) and in the middle age (46-55 years old) (p= 0.010).	Higher risk of insomnia in professionals working in high-risk environment (p<0.000), frequency of night shifts (p=0.015), greater working experience (p<0.000) and negative experiences related to COVID-19 (p=0.002).	NA
Zhang, Wang 2020 <sup>58/</sup> China	NA/ Healthcare workers	Cross-section- nal/ N=927	Insomnia (ISI)	The prevalence of insomnia was 33.9%.	Higher risk when living in rural areas (medical health workers) (OR= 2.18; 95%CI: 1.42- 3.35).	The prevalence of insomnia was 38.4% in medical health workers (doctors and nurses) and 30.5% in nonmedical health workers (p<0.01). Higher risk of insomnia in professionals working in high-risk environment (OR= 2.53; 95% CI: 1.74- 3.68).	NA
Zhang, Yang 2020 <sup>59/</sup> China	Hospital/ Healthcare workers	Cross-section- nal/ N=1,563	Insomnia (ISI)	The prevalence of insomnia was 36.1%.	Higher risk of insomnia among medical staff with a high school or below education level (OR= 2.69; 95% CI: 1.0-7.0).	Higher risk of insomnia when currently working in an isolation unit (OR=1.71; 95%CI: 1.0- 2.8) and having great uncertainty regarding the effective control of the disease (OR= 3.30; 95% CI: 1.3-8.5). Being a doctor was a protective factor. (OR= 0.44; 95% CI: 0.2-0.8).	Higher risk with the perception of lack of helpfulness in terms of psychological support from news or social media (OR= 2.10; 95%CI:1.3- 3.3).

AIS= Athens Insomnia Scale; CI= Confidence Interval; COVID-19= Coronavirus disease 19; ICU= Intensive Care Unit; ISI=Insomnia Severity Index; OR= Odds Ratio; PSQI= Pittsburgh Sleep Quality Index

two and six) and participants, and they included studies resulting from healthcare crises different to the current one<sup>6 35 60-63</sup>.

In the Spanish population, the prevalence of insomnia is 6.4%, (up to 20.8% if we consider those patients who present just one symptom of insomnia such as difficulty staying asleep at least three nights per week),<sup>19</sup> rates which are clearly below those observed in our review. Insomnia is more prevalent in women, and it becomes more prevalent in older people.<sup>19</sup> Our findings are supported by two previous reviews, which suggested that insomnia is more prevalent among female healthcare workers.<sup>60 63</sup> Although our subgroup analysis also suggested a higher prevalence among women, the difference was not statistically significant. This could be partially due to low statistical power (as only a small number of studies provided data that could be pooled by gender).

The healthcare crisis caused by the COVID-19 pandemic is posing a serious challenge for healthcare workers, who must deal with excessive workloads, psychologically demanding conditions, and the feeling of having limited resources and support<sup>37</sup>. The present systematic review shows that working in a high-risk environment is the main factor in the development of symptoms of insomnia and the worsening quality of sleep of healthcare workers<sup>47 51 53-59</sup>. These results are in line with the majority of recent reviews<sup>35 60 63</sup>, which suggest that working on the frontline, in high-risk units, or in contact with infected patients was considered the main risk factor for symptoms of insomnia.

While some factors cannot be modified (e.g., exposure to high-risk environments, being female), this review has also identified some factors that can be dealt with to mitigate the risk that they entail. For example, a lack of social and emotional support<sup>50</sup> and paying attention to negative information<sup>54</sup> can be cushioned by having institutions provide sufficient information and training, adequate protective equipment, and emotional support, among other factors.

Despite the large number of recently published studies on the impact that the COVID-19 healthcare crisis has had on insomnia in healthcare workers, it is worth noting that the large majority of them are cross-sectional, and they assess symptoms using self-reported measures (17 out of 18 of the studies used online surveys). Thus, they are at risk of suffering from a selection bias. Furthermore, increasing the scientific evidence would require a greater number of methodologically robust cohort studies that include diagnostic instruments in the assessment of insomnia. It is possible that such studies are already being carried out but not yet published. Although a clinical diagnostic evaluation remains the gold standard for making a valid insomnia diagnosis, in the

current circumstances of a pandemic, and given the nature of the studies included in this review, such clinical evaluation has not been possible. All of the included studies used validated self-reported questionnaires. Although these questionnaires can facilitate the initial assessment and detection of insomnia, the findings regarding the observed prevalence figures require some caution in their interpretation. These questionnaires have advantages and limitations, and brief screening tools, such as the ISI, can be helpful for epidemiologists in identifying cases of insomnia and documenting the prevalence and burden of the disease. However, accurately measuring insomnia remains a challenge for researchers.

### Strengths and limitations of the review

Our study provides an exhaustive review of the literature on the impact that the current COVID-19 healthcare crisis is having on the insomnia and quality of sleep of healthcare workers. Using a robust methodology, we offer precise, up-to-date estimations of the prevalence of self-reported insomnia, identifying risk factors that should be considered when coming up with future psychological support interventions aimed at this group of workers. With regard to limitations, our review did not include a search of gray literature or studies published in languages other than English or Spanish. Thus, it is possible that some relevant studies were not included. Furthermore, 14 of the studies (78%) were carried out in China, which could limit the external validity of the results of our review. Finally, as mentioned, the assessment of insomnia is multidimensional. It should ideally include a clinical assessment and be complemented with self-report questionnaires and sleep diaries, thus the self-reported nature of the scales used to measure insomnia and sleep quality it is also one of its limitations in the studies included in this review.

### CONCLUSIONS

Healthcare workers exposed to working with patients during the COVID-19 pandemic show a higher prevalence of self-reported insomnia and poorer sleep quality than the general population. Given that insomnia is associated with other, more serious health problems and work-related issues, early interventions should be applied as a strategy for preventing the onset of mental disorders. It is worthwhile to implement interventions that promote mental health in general and specifically improve quality of sleep. In the current situation, with a second wave of the pandemic afflicting many countries and with social-distancing healthcare restrictions, virtual and online psychotherapy options are alternatives worth exploring.



## Funding

The present research has not received any specific funding from public agencies, commercial institutions, or non-profit organizations.

## Authorship

All of the authors have contributed fully to the creation of the present study, from the search for information, to analyzing data, creating drafts, critically reviewing the article, and approving the final document.

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**ONLINE APPENDIX 1. SEARCH STRATEGY**

Medline (Ovid): 3 August 2020

	Searches	Hits
1	exp Health Personnel/ or ((health or health care or healthcare) adj2 (personnel or worker* or provider* or employee* or staff or professional*).tw. or ((medical or hospital) adj2 (staff or employee* or personnel or worker*).tw. or (doctor* or physician* or clinician*).tw. or (allied health adj2 (staff or personnel or worker*).tw. or paramedic*.tw. or nurse*.tw. or (nursing adj2 (staff or personnel or auxiliar*).tw.	1411837
2	mental disorders/ or exp adjustment disorders/ or exp anxiety disorders/ or exp mood disorders/ or neurotic disorders/ or mental health.mp.	476636
3	(anxi* or depress* or melancholi* or neuros* or neurotic or psychoneuro* or stress* or distress* or emotion*).tw.	1656645
4	affective symptom*.mp.	14810
5	2 or 3 or 4	1929078
6	Disease Outbreaks.mp. [mp=title, abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]	83087
7	exp *Disease Outbreaks/	67862
8	pandemic*.mp. [mp=title, abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]	48311
9	epidemic.mp. [mp=title, abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]	93423
10	exp *Coronaviridae Infections/ or exp *Coronaviridae/	27523
11	exp *Coronavirus/	16445
12	covid 19.mp.	34192
13	covid-19.mp.	34192
14	exp *SARS Virus/	2665
15	exp *Hemorrhagic Fever, Ebola/	4776
16	exp *Influenza, Human/ or exp *Influenza A Virus, H1N1 Subtype/ or exp *Influenza A virus/	60695
17	health crisis.mp.	2483
18	emergency crisis.mp.	46
19	6 or 7 or 8 or 9 or 10 or 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18	267468
20	1 and 5 and 19	2054

Embase (Elsevier): 3 August 2020

	Searches	Hits
1	'health care personnel'/exp OR 'health care personnel'	1,584,942
2	health NEXT/2 (personnel OR worker* OR provider* OR employee* OR staff OR professional*)	411,852
3	'health care' NEXT/2 (personnel OR worker* OR provider* OR employee* OR staff OR professional*)	225,881
4	healthcare NEXT/2 (personnel OR worker* OR provider* OR employee* OR staff OR professional*)	90,924
5	medical NEAR/2 (staff OR employee* OR personnel OR worker*)	69,674
6	hospital NEAR/2 (staff OR employee* OR personnel OR worker*)	38,053
7	doctor* OR physician* OR clinician*	1,467,423
8	'allied health' NEAR/2 (staff OR personnel OR worker*)	1,013
9	paramedic* OR nurse*	552,833

10	nursing NEAR/2 (staff OR personnel OR auxiliar*)	83,622
11	#1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9 OR #10	2,921,448
12	'mental health'/exp OR 'mental health'	478,12
13	'mental disease'/exp OR 'mental disease'	2,334,133
14	anxi* OR depress* OR melancholi* OR neuros* OR neurotic OR psychoneuro* OR stress* OR distress* OR emotion*	3,761,584
15	'affective symptom*'	3,182
16	#12 OR #13 OR #14 OR #15	5,208,293
17	'epidemic'/exp OR 'epidemic'	184,691
18	'pandemic'/exp OR 'pandemic'	51,454
19	'coronavirus infection'/exp OR 'coronavirus infection'	45,375
20	covid AND 19 OR covid19 OR 'covid 19'	34,225
21	'sars-related coronavirus'/exp OR 'sars-related coronavirus'	14,557
22	'ebolavirus'/exp OR 'ebolavirus'	6,046
23	'influenza'/exp OR 'influenza'	159,788
24	'health crisis' OR 'emergency crisis'	3,643
25	#17 OR #18 OR #19 OR #20 OR #21 OR #22 OR #23 OR #24	385,121
26	#11 AND #16 AND #25	8,253
27	#26 AND [embase]/lim NOT ([embase]/lim AND [medline]/lim) AND 'human'/de AND ('article'/it OR 'article in press'/it OR 'review'/it)	962

PsycINFO (EBSCO): 3 August 2020

	Searches	Hits
1	TI ( healthcare professionals or healthcare workers or healthcare providers or physician or nurse or doctor ) OR AB ( healthcare professionals or healthcare workers or healthcare providers or physician or nurse or doctor )	166,451
2	DE mental health	72,726
3	TI ( mental health or mental illness or mental disorder or psychiatric illness ) OR AB ( mental health or mental illness or mental disorder or psychiatric illness )	257,351
4	DE depression	45,922
5	DE anxiety	80,437
6	TI ( depression or depressive disorder or depressive symptoms or major depressive disorder ) OR AB ( depression or depressive disorder or depressive symptoms or major depressive disorder )	272,066
7	TI ( anxiety disorders or anxiety or generalized anxiety disorder ) OR AB ( anxiety disorders or anxiety or generalized anxiety disorder )	195,472
8	S2 OR S3 OR S4 OR S5 OR S6 OR S7	620,287
9	DE disease outbreaks	1,018
10	TI ( disease outbreaks or pandemic or epidemic or health emergency ) OR AB ( disease outbreaks or pandemic or epidemic or health emergency )	17,177
11	DE coronavirus	381
12	TI ( coronavirus or covid-19 or sars or mers or pandemic or outbreak ) OR AB ( coronavirus or covid-19 or sars or mers or pandemic or outbreak )	4,196
13	S9 OR S10 OR S11 OR S12	19,839
14	S1 AND S8 AND S13	463

## ONLINE APPENDIX 2. LIST OF STUDIES EXCLUDED AFTER FULL TEXT EVALUATION

### A. Target population (non-healthcare professionals)

1. Aiello, A., Khayeri, M. Y.-E., Raja, S., Peladeau, N., Romano, D., Leszcz, M., . . . Schulman, R. B. (2011). Resilience training for hospital workers in anticipation of an influenza pandemic. *The Journal of continuing education in the health professions*, 31(1), 15-20.
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5. Li, Y., Wang, Y., Jiang, J., Valdimarsdottir, U. A., Fall, K., Fang, F., . . . Zhang, W. (2020). Psychological distress among health professional students during the COVID-19 outbreak. *Psychological medicine*, 1-3.
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### B. Outcome measure (insomnia not studied)

1. Abdessater, M., Roupret, M., Misrai, V., Matillon, X., Gondran-Tellier, B., Freton, L., . . . Association Francaise des Urologues en, F. (2020). COVID19 pandemic impacts on anxiety of French urologist in training: Outcomes from a national survey. *Prog Urol*, 30(8), 448-455.
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ONLINE APPENDIX 3. ASSESSMENT OF RISK OF BIAS.

A. Risk of bias in cross-sectional studies, assessed by "Risk of Bias Instrument for Cross-Sectional Surveys of Attitudes and Practices" (Evidence Partners)

	Population representativeness	Response rate	Missing data	Survey clinically sensible	Reliability and validity of the instruments	
Dosil 2020	⊕	⊕	⊕	⊕	⊕	⊕ Low risk ⊕ Some concerns ⊕ High risk
Jahrami 2020	⊕	⊕	⊕	⊕	⊕	
Huang 2020	⊕	⊕	⊕	⊕	⊕	
Kang 2020	⊕	⊕	⊕	⊕	⊕	
Lai 2020	⊕	⊕	⊕	⊕	⊕	
Qi 2020	⊕	⊕	⊕	⊕	⊕	
Que 2020	⊕	⊕	⊕	⊕	⊕	
Shechter 2020	⊕	⊕	⊕	⊕	⊕	
Stojanov 2020	⊕	⊕	⊕	⊕	⊕	
Tu 2020	⊕	⊕	⊕	⊕	⊕	
Wang, Huang 2020	⊕	⊕	⊕	⊕	⊕	
Wang, Xie, 2020	⊕	⊕	⊕	⊕	⊕	
Xiao 2020	⊕	⊕	⊕	⊕	⊕	
Yin 2020	⊕	⊕	⊕	⊕	⊕	
Zhan 2020	⊕	⊕	⊕	⊕	⊕	
Zhang, Wang 2020	⊕	⊕	⊕	⊕	⊕	
Zhang, Yang 2020	⊕	⊕	⊕	⊕	⊕	

B. Risk of bias in cohort studies, assessed by "Tool to Assess Risk of Bias in Cohort Studies" (Evidence Partners)

	Yuan 2020	
Exposed and non-exposed cohorts drawn from the same population	⊕	⊕ Low risk ⊕ Some concerns ⊕ High risk
Confident in the assessment of exposure	⊕	
Outcome of interest was not present at start of study	⊕	
Associated or prognostic variables analyzed	⊕	
Assessment of prognostic factors	⊕	
Assessment of outcome	⊕	
Follow-up of cohorts	⊕	
Co-interventions similar between groups	⊕	